

## 3738/ IFU

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory H. Lambrecht et al.

Serial No.:

09/700,167

Filing Date:

11/09/00

Title:

CARDIAC VALVE PROCEDURE

METHODS AND DEVICES

Group Art Unit:

3738

Examiner:

David J. Isabella

Attorney's Docket No.:

VIA-3

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

October 20, 2004

(DATE OF DEPOSIT)

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

James A. Sheridan

(NAME OF ATTORNEY)

(SIGNATURE)

October 20, 2004

(DATE OF SIGNATURE)

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents and information which may be considered material to the examination of this application. These documents and information are listed on the accompanying forms.

Copies of documents 1-5, which are listed on the accompanying Form PTO/SB/08 (substitute for Form PTO-1449) (one page), are enclosed herewith.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of the Form PTO/SB/08 (substitute for Form PTO-1449) (one page), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

VIA-3 10/26/2004 ZJUHAR1 00000012 09700167 01 FC:1806 180.00 DP A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

<u>Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.</u>

Thank you.

Respectfully submitted,

amus A. Shuridan 10/20/04

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PTO/SB/08A (10-96)
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	09/700,167			
Filing Date	11/09/00			
First Named Inventor	Gregory H. Lambrecht et al.			
Group Art Unit	3738			
Examiner Name	David J. Isabella			
Attorney Docket Number	VIA-3			

			U.S. PATENT DOCU	MENTS	· · · · · · · · · · · · · · · · · · ·
Examiner Initials*	Cite No.1	U.S. Patent Documen  Kind Cod  (if known	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,769,816	Barbut et al.	06-23-1998	
	2	5,814,064	Daniel et al.	09-29-1998	
	3	6,692,513	Streeter et al.	02-17-2004	· · · · · · · · · · · · · · · · · · ·
	4	6,375,670	Greenhalgh, E.Skott	04-23-2002	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Le
	_ 5	WO	00/44313		Gregory Lambrecht et al.	08/03/00		
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	Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.